CALL FOR R&D PROJECTS
IN ALL SCIENTIFIC DOMAINS

Guide for Peer Reviewers

January 2021
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1. ABOUT FCT

FCT (Fundação para a Ciência e a Tecnologia) is the Portuguese public agency under the responsibility of the Ministry for Science, Technology and Higher Education that supports science, technology and innovation, in all scientific domains.

FCT’s mission is to continuously promote the advancement of knowledge in science and technology in Portugal high international standards in quality and competitiveness, and encourage its dissemination and contribution to society and to economic growth.

FCT pursues its mission by funding, through competitive calls with peer review, fellowships, studentships and research contracts for scientists, research projects, research centres and infrastructures. FCT ensures Portugal’s participation in international scientific organisations, fosters the participation of the scientific community in international projects and promotes knowledge transfer between R&D centres and industry. Working closely with international organisations, FCT coordinates public policy for the Information and Knowledge Society in Portugal and ensures the development of national scientific computing resources.

The results of FCT accomplishments are, in essence, the outcome of the work carried out by individual scientists, research groups and institutions that are funded by FCT.

1.1 GRANTS FOR RESEARCH PROJECTS

Funding of projects by FCT is based on peer review of applications submitted online when a call is opened. All proposals are judged on the basis of their scientific merit.

Each call entails a public announcement outlining the required features of the applications and the evaluation criteria to be applied. On a regular annual basis, FCT opens calls for projects in all scientific domains, and occasionally for projects in specific research areas.

The 2021 Call for Proposals for Research and Development (R&D) Projects in all Scientific Domains is open from 28th January to 10th March 2021.

All the eligible proposals will be evaluated by an international panel according to the scientific area and subarea chosen by the applicants. No application can be transposed to a different panel from the one that corresponds to the scientific area and subarea selected by the Principal Investigator.

The rules under which the applications and the accepted projects are governed are specified in a public document entitled: Regulations Governing Access to Funding for Scientific Research and Technological Development Projects.
2. THE 2021 CALL FOR R&D PROJECTS

The 2021 Call for R&D projects in all scientific domains was launched by FCT through a public announcement (only available in Portuguese), outlining the required features of the applications and the evaluation criteria to be applied.

This call is funded by €75 million of national state budget and, if justifiable, FCT may strengthen this budget.

Two types of research projects can be funded under this call:

a) Scientific Research and Technological Development (SR&TD) Projects address scientifically relevant and original issues, with reference to international standards, contributing to the advancement of knowledge and producing identified outcomes, within the duration of the project.
   - In SR&TD projects, the beneficiary entities may apply individually or in co-promotion.
   - The maximum duration of the grant is 36 months (extendable for 12 months, if justified).
   - The eligible investment cannot exceed €250,000.00.
   - The budget allocation to SR&TD projects is €60 million of national state budget.

b) Exploratory Research Projects (PeX) are scientific or technological research projects that explore ideas or concepts with significant originality and/or innovative potential.
   - In PeX projects, the beneficiary entities can only apply individually (only one beneficiary).
   - The maximum duration of the grant is 18 months (extendable for 6 months, if justified).
   - The eligible investment cannot exceed €50,000.00.
   - The budget allocation to PeX projects is €15 million of national state budget.

The applicant is responsible for choosing the research project typology, as well as the most suitable scientific area and subarea in respect to the topic of the proposed research plan. All projects should contribute to at least one of the 17 Sustainable Development Goals defined by the United Nations.

Each applicant can only submit one application as Principal Investigator (PI) or as Co-PI.

It is also important to underscore that:
   - Each PI must identify a Co-PI who replaces the PI in absences and impediments;
   - Each PI can only submit one application;
   - Each PI cannot present an application as Co-PI;
The PI of an approved project in the previous edition of the SR&TD projects in all scientific domains cannot apply as PI in the present call; The PI must have at least 35% of working time dedicated to project over the period of the grant; The Co-PI can only submit one application as such; The Co-PI cannot present an application as PI; The Co-PI must have at least 25% of working time dedicated to the project over the period of the grant; Multiple applications of the same project are not allowed. New applications grounded on a previous project should contain substantial modification and update.

According to the FCT Projects Regulations and the public announcement, the beneficiary entities that may apply are:

- Non-business entities of the R&I System, namely:
  - Higher education institutions, their institutes and R&D units;
  - State or international laboratories with a head office in Portugal;
  - Non-profit private laboratories whose main object is R&D activity;
  - Other non-profit public and private institutions developing or participating in scientific research activities.
- Companies of any type and under any legal form, if included in SR&TD projects led by non-business entities from the R&I System, within an effective collaboration.

The Principal Contractor must be a legal entity belonging to the non-business entities of the R&I System listed above. The possible involvement of foreign institutions as participants in the project does not confer them the status of beneficiary.

The beneficiary entities and the PI must agree to comply with the applicable national and European Community norms namely: competition, environment, equal opportunity and gender, and public contracting, whenever applicable. The following should be considered:

- Animal experimentation - the PI must vouch for the research team’s compliance with EU directives and the relevant Portuguese laws regarding the protection of animals used for experimental and other scientific purposes;
- Regarding the donation, procurement, testing, processing, storage, distribution and preservation of human tissues and cells, the PI must vouch for the research team’s compliance with EU directives and the relevant Portuguese laws on standards of quality and safety;
- The dissemination strategy of research outputs of the projects, including considerations of open access, will be taken into account in the evaluation.

For more detailed information please refer to the Ethics Self-Assessment Guide.
2.1 COMPONENTS OF THE APPLICATIONS

Applications are submitted online via a specially designed FCT Web application.

The application must be written in English.

The application comprises the following sections:

1. **Project description** - where the PI:
   - identifies the scientific domain, area and subarea from the provided list (OECD’s revised Field of Science and Technology - FOS, adapted to the call);
   - indicates the title of the project;
   - indicates up to four keywords that reflect the scientific content of the proposed research plan;
   - identifies 1 to 3 of the 17 UN sustainable development goals (2030 UN Agenda Goals).

   The main and secondary scientific areas, corresponding subareas and evaluation panels are listed in section 8.

2. **Institution description and its competencies for the development of the project** (3000 characters).

3. **Scientific components:**
   - “Abstract” (5000 characters);
   - “Technical Description” comprises “Literature Review” (6000 characters), “Research Plan and Methods” (10000 characters), “Tasks” (4000 characters for each task), “Project Timeline and Management Plan” (3000 characters);
   - “Bibliographic References” (max. of 30);
   - “Past Publications” (lists the 5 most representative publications of the team).

4. **Research team:**
   - includes the members list and the number of new recruitments;
   - the identification of the Co-PI.

   A maximum of 4 Core CVs must be presented: for PI, co-PI and 2 other team members (core elements).
The PI, co-PI, the core elements, as well as the remaining elements of the research team, are responsible for submitting an updated version of their CV in English on the CIÊNCIAVITAE, until the time of the application’s submission.

5. **Funded projects** - this field should describe all projects approved through peer-review in the last 5 years from the PI and Co-PI related to the present application.

6. **Expected Indicators** - in this section, the PI should indicate the potential scientific research results including, among others, the following: a) Publications, b) Communications, c) Reports, d) Organization of Seminars and Conferences, e) Advanced Training, f) Models, g) Software, h) Pilot plants, i) Prototypes, j) Patents and l) Other; Other means of knowledge dissemination.

7. **Budget** - the following items are eligible for funding:
   - **Direct costs**: Human resources, Missions, Subcontracts, Patent registrations, Demonstration, promotion and publication, Adaptation of buildings and facilities, Service procurement and acquisitions, Instruments and scientific and technical equipment.
   - **Indirect costs**, with a flat rate of 25% of eligible direct costs, excluding subcontracting. This percentage is automatically checked by the submission tool. Applications cannot be locked if this condition is not verified.

8. **Budget Rationale** - where the PI presents the justification of the requested items of the budget (more detailed information in Annex I).

9. **Attachments** - in addition to the mandatory annex with the timeline, the PI may attach the following documents to the proposal: support letters, consultants’ CVs, formulas, schemes, diagrams, graphs or images. The applicant may need to include an authentication.pdf file with the necessary authentication data to consult Bibliographic References and Previous Publications. No other documents than the ones previously mentioned should be considered in this section.

3. **EVALUATION CRITERIA**

The evaluation of the application will focus on the relevance and quality of following criteria:

A. Scientific merit (A1) and innovative nature (A2) of the project from an international standpoint - (40%);

B. Scientific merit of the Principal Investigator (B1) and the research team (B2) - (30%)
C. Quality and feasibility of the workplan, the expected indicators and the budget reasonability - (30%).

3.1 CRITERION A

This criterion aims to assess the scientific merit and innovative nature of the project from an international standpoint, through the following two subcriteria:

A1 - Scientific merit of the project (50%)
A2 - Innovative nature of the project (50%)

3.1.1 A1 - Scientific merit of the project

This subcriterion is intended to evaluate the scientific merit of the proposal, considering the following dimensions in an integrated way:

- Clear identification of the project objectives and scientific challenges addressed by the proposal and its alignment with any of the 2030 Agenda Goals;
- Potential contribution of the research project to the advancement of knowledge.

3.2.1 A2 - Innovative nature of the proposal

The present subcriterion is intended to assess the innovative nature of the proposal, considering the following aspects:

- Potential for breakthrough findings by comparison with the current state-of-the-art of the scientific area;
- Methodological innovation, and replication potential;
- Potential impact of the project’s outcomes on the economic, technological and societal dimensions.

3.2 CRITERION B

The present criterion aims to evaluate the scientific merit of the PI and the research team, through the following subcriteria:

B1 - Scientific merit of the Principal Investigator (50%)
B2 - Scientific merit of the research team (50%)

3.2.1 B1 - Scientific merit of the Principal Investigator
This subcriterion is intended to evaluate scientific merit of the PI, through the following dimensions:

- Merit of the scientific and professional career of the Principal Investigator valuing the different components: participation in research projects; scientific publications; leadership/organization/participation in networks and conferences; participation in activities of scientific training and management; outreach activities;
- PI’s qualifications regarding the project’s challenges, both at the scientific and management level, as well as the ability to engage young researchers in training;
- Relevant outcomes of previous projects and their contribution to the advancement of knowledge and to knowledge-based applications, assessed through the qualitative appraisal of publications or other professional and scientific works and actions considered as the most representative of the of the PI’s career.

3.2.2 **B2 - Scientific merit of the research team**

The present subcriterion is intended to assess the scientific merit of the research team, considering the following aspects:

- Scientific productivity of the team (references to publications and citations in published works, other relevant indicators);
- Ability to engage young researchers in training;
- Degree of internationalisation of the team (when appropriate);
- Abilities and skills to adequately execute the proposed project in its specific area, considering the team’s configuration, the availability and commitment of its members (and other entities, when applicable).
- Level of commitment of any companies participating in the project (if applicable).

3.3 **CRITERION C**

This criterion is intended to evaluate the quality and feasibility of the workplan and the expected indicators, as well as the budget adequacy, considering the following aspects:

- Quality (clarity, consistency and adequacy) of the project, taking into consideration the theoretical framework, the research methodology and the work plan;
- Clear identification of the planned activities, their structure and adequacy to the established methods and objectives;
- Adequacy of the human resources and methodologies to perform the proposed objectives and tasks and meet the proposed deadlines;
- If applicable, analysis of the risks associated to the different stages of the project, with special focus on the identification of the critical points and the corresponding contingency plan;
• Valuation of the potential of the predicted outputs (besides other components of the proposal, more detailed information can be found in the application form section 6 “Expected output indicators” and “Knowledge dissemination”);

• Adequacy of the physical and financial resources involved in the project, with regard to the host’s conditions (technical/scientific, organizational management and, when appropriate, co-funding capacity by companies) provided by the beneficiary entities, in particular institutional resources of the participating entities, namely of the Principal Contractor;

• Adequacy and consistency of the proposed budget to accomplish the objectives and activities proposed.

4. SCORING SYSTEM

The scoring system uses a 9-point scale, using 0.1 increments. The maximum score is 9 and the minimum is 1, as presented in Table I.

Table I – Qualitative descriptors associated to the 9-point scale.

<table>
<thead>
<tr>
<th>Evaluation</th>
<th>Score</th>
<th>Strengths &amp; Weaknesses</th>
</tr>
</thead>
<tbody>
<tr>
<td>Excellent</td>
<td>9</td>
<td>Exceptionally strong with no weaknesses</td>
</tr>
<tr>
<td>Very good</td>
<td>8</td>
<td>Very strong with some negligible weaknesses</td>
</tr>
<tr>
<td></td>
<td>7</td>
<td>Strong with some minor weaknesses</td>
</tr>
<tr>
<td>Good</td>
<td>6</td>
<td>Some strengths with numerous minor weaknesses</td>
</tr>
<tr>
<td></td>
<td>5</td>
<td>Some strengths but with at least one moderate weakness</td>
</tr>
<tr>
<td>Adequate</td>
<td>4</td>
<td>Few strengths with several major weaknesses</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>Few strengths and major weaknesses</td>
</tr>
<tr>
<td>Poor</td>
<td>2</td>
<td>Very few strengths and serious weaknesses</td>
</tr>
<tr>
<td></td>
<td>1</td>
<td>Cannot be assessed due to missing or incomplete information</td>
</tr>
</tbody>
</table>

The Merit of the Project (MP) is given by:

$$MP = 0.40 \times A \times (0.50 \times A1 + 0.50 \times A2) + 0.30 \times B \times (0.50 \times B1 + 0.50 \times B2) + 0.30 \times C$$
The subcriteria A1, A2, B1 and B2 and criterion C are scored using a 9-point scale system (1 – minimum; 9 – maximum) with decimal numbers. The final score of MP is rounded to two-decimal places.

The applications whose PI has an ongoing scientific employment contract awarded in the Individual Call to Scientific Employment Stimulus will have a bonus of 1.00 points in subcriterion B1, however its final grade may not exceed the value of 9. This information must be clearly stated in the evaluation report, under the subcriterion B1.

For a proposal to be eligible for funding, the following minimum score is required:

- MP ≥ 7.00 points.

The eligible applications will be ranked by the evaluation panel, separately by typology, by decreasing order of the MP score.

In case of ties (projects with the same MP score), the ratings assigned to criteria A2, B1, A1, B2 and C will be used sequentially and by descending order to provide the final ranking of the projects.

For each project typology, the total budget allocation to the call will be distributed for each evaluation panel proportionally based on the total amount of the solicited funding of the eligible proposals (MP ≥ 7.00) in each panel.

A PI whose application is scored with a MP lower than 5.00 will be hindered to apply as PI in the next edition of the Call for SR&TD Projects in all scientific domains.

## 5. EVALUATION PROCESS

### 5.1 CONSTITUTION OF THE EVALUATION PANEL

- The evaluation panels are constituted by international reviewers, appointed by the Board of Directors of FCT and approved by the Minister of Science, Technology and Higher Education;

- The constitution of the evaluation panels takes into consideration the number and the scientific areas of the applications, an adequate gender balance and a fair geographic and institutional distribution of evaluators;

- All experts will be of acknowledged competence in the scientific areas of the application to be evaluated, and cannot be affiliated with Portuguese R&D institutions or have current or scheduled collaborations with any Portuguese R&D institution;

- Each panel has a Chair who is responsible for the following tasks:
• Assisting FCT with the constitution of the panel by suggesting possible reviewers to be invited;

• Depending on the panel’s dimension and spectrum of subareas, the Panel Chair may indicate a Co-Chair;

• Assigning each application to two Panel Members;

• Keeping the evaluation process within the defined timeframe and contacting panel members in case of any delays;

• Supporting the FCT team in the resolution of any Conflict of Interest (CoI) identified during the evaluation process;

• Suggesting external reviewers to be invited by FCT to provide an assessment of the applications, whenever a particular expertise is not covered by the panel;

• Participating in a videoconference meeting with one or more members of the Board of Directors of FCT, prior to the beginning of the remote reviewing period, to comply with the steps of the evaluation procedure;

• Assuring the quality of the reviewers’ reports: comments should be in agreement with the scores taking into account descriptors of the scoring system (see section 4), providing substantive arguments and identifying both the strengths and weaknesses for each evaluation criterion;

• Supporting the overall application of these guidelines and an effective differentiation of the projects’ assessment;

• Leading the Panel Meeting;

• Elaboration of the panel meeting report to be conveyed to the Board of Directors of FCT;

• Coordinating the support to be given to FCT by panel members during the period of preliminary hearings, if necessary.

5.2 EVALUATION STAGES

The evaluation process involves the following stages:

− Applications eligibility and assignment to reviewers;

− Remote evaluation:

  • Individual phase;

  • Pre-Consensus phase (Compilation phase).

− Panel Meeting;

− Preliminary hearing analysis.
APPLICATIONS ELIGIBILITY AND ASSIGNMENT

− FCT is responsible for verifying the eligibility of the submitted applications according to the factual and legally binding criteria described in the announcement. An application can be declared ineligible at any stage of evaluation. If any doubt arises during the evaluation regarding eligibility, the Panel Chair and FCT should be informed;

− Each application is remotely and individually evaluated by two panel members. One of the Panel Members is appointed as first reader of the application;

− The panel Chair and Co-Chair (if applicable) are responsible for the assignment of each application to the respective first and second readers (1st and 2nd readers);

− An external reviewer may be assigned by the Chair to a given application whenever a particular expertise is not covered by the panel;

− The allocation of the applications to Panel Members and external reviewers (if applicable) necessarily takes into consideration any declared Conflict of Interest (CoI), as well as the matching of professional and scientific expertise within the topic of the application.

REMOTE EVALUATION

INDIVIDUAL PHASE

− Before accessing each application, the reviewer has to declare whether or not a CoI is identified for that particular application;

− In case of a Disqualifying Conflict of Interest, the panel Chair should be informed and the application is allocated to a different panel member;

− The reviewers must submit an Individual Evaluation Report with their assessment for each application assigned to them. This report includes:
  • The score and comments for each of the evaluation criteria, including strengths and weakness;
  • Identification of the research plan’s alignment with the framework of any of the 2030 UN Agenda Goals;
  • A comment on the proposed budget; suggested changes in the budget must be justified;
  • A comment concerning ethical issues, if applicable;
  • Confidential comments to the evaluation panel and /or FCT, if necessary.

− The reviewers should perform their assessments considering the frameworks for each research project typology (SR&TD and PeX) and only the information provided by the applicant. The final score (MP) of each
application is calculated taking into account the weight given to each criterion (please see section 4), with two decimal places;

- **Both readers must submit and lock their individual evaluation** prior the beginning of the pre-consensus phase.

An application can be considered non-assessable when it is considerably completely outside the scope of the panel. The inadequacy of the application must be confirmed by the Panel Chair and it cannot be moved to a different panel. The evaluation panel must jointly validate this decision during the panel meeting.

### PRE-CONSENSUS PHASE (COMPILATION PHASE)

- The Panel Member appointed as 1st reader prepares the **Compilation (Pre-Consensus) Report for each application** based on the two individual reviews (and the external expert’s assessment, if applicable) to be submitted before the panel meeting;

- If the 1st reader is unable to reach a pre-consensus report based on the two individual reviews, the Chair should settle the differences;

- The **Pre-Consensus report**, similar in structure to the individual reports, is the starting point for the panel discussion during the panel meeting. Comments must include the strengths and weaknesses for each evaluation criterion and be in agreement with the scores.

### PANEL MEETING

- Each evaluation panel meeting will be remotely coordinated by the Chair to proceed with the following activities:
  
  - Discussion of the applications according to the provisional ranking list for each typology;
  - Ensure that each application receives a fair judgement and is discussed appropriately;
  - Settle the final scores for each criterion, as well as the comments to be conveyed to the applicants, and ensure that the scores are in agreement with the comments. Final comments should be included in the panel evaluation report by the 1st reader (as specified in section 5.3);
  - Guarantee that adopted criteria are coherent within and across each research project typology (SR&DT and PeX);
  - Prepare a Panel Meeting Report with a summary of the meeting activities that should address (but is not limited to) the following issues:
    
    - Work methodology adopted by the panel;
    - Identification of Conflicts of Interest and their resolution at any time during the process;
    - Final Panel Ranking by typology.
This report is signed by the Chair with the agreement of all Panel Members.

- Finally, an additional document with Recommendations to FCT on the various aspects of the evaluation process will help FCT to improve procedures in future calls.

5.3 FEEDBACK TO BE TRANSMITTED TO APPLICANTS

All the reviewers should comply with the following additional guidelines in the elaboration of the evaluation reports.

Comments must:

- Be coherent with the scores taking into account the descriptors presented in Table I (section 4);
- Be clear and consistent, highlighting the strengths and weaknesses of the application for each criterion;
- Take into account the research project typology of the application (SR&DT or PeX);
- Use dispassionate and analytical language, avoiding dismissive statements about the applicant, the proposed science, or the scientific field;
- Be impeccably polite;
- Address the submitted work plan and not the work the reviewers consider should have been proposed.

Comments must not:

- Give a description or a summary of the application;
- Use of the first person or equivalent: "I think..." or "This reviewer finds..."; alternatively, panel members are advised to use expressions such as “The panel considers...” or “It is considered...”;
- Ask questions, as the applicant will not be able to answer them;
- Provide recommendations or advices for improving the application;
- Have contradicting statements;
- Mention quantitative details that can easily originate factual mistakes.

The quality of the comments to be transmitted to the applicants is of paramount importance and part of the evaluation process, therefore being a crucial task of the evaluation panel.

5.4 FCT EVALUATION WEBPAGE (HTTPS://SIG.FCT.PT/EVALUATION/)

The first time a reviewer logs in the evaluation webpage located at the FCT site, he/she has to accept a Confidentiality Statement;
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PANEL CHAIR CREDENTIALS

Panel Chair credentials give access to the FCT evaluation webpage, and enable Panel Chairs to:

▪ Allocate each application to two panel members and external reviewers (if applicable);
▪ Check the number of applications assigned to each reviewer;
▪ Monitor the individual reviewers’ work flow (individual evaluation report submitted by panel members);
▪ Extract an excel file to sort the applications according to various items, including scores, requested funding, etc.

The main menu displays the following options:

Project List – This list displays all the applications submitted to the panel. The reference/title are links to access the overview of the selected application form, the status of its evaluation and the contents of the individual reports, if locked. Each application must be assigned to two panel members.

Evaluators List - This list displays the names of the reviewers and the number of projects assigned to each. By clicking the name, the Panel Chair will access the list of applications associated with each reviewer.

Evaluators / Ratings - List of all projects, with data relative to the reviewers’ work flow.

Additional Documents - Set of documents with information on the evaluation process, the particular call, logistical aspects, etc.

Extra Information - Lists that can be extracted to an excel file to monitor the work flow. This includes a list with the information regarding the conflict of interest declared by the reviewer.

Registration Form - To be filled in by the evaluator with her/his Personal Data, Scientific Field and Payment Data.

INDIVIDUAL CREDENTIALS

Individual credentials give access to the list of applications assigned to the reviewer, with the type of reader identified. After logging in and accepting the statement of confidentiality, instructions are available at the top of the menu.

For each application, the following is available:

▪ A statement on Conflicts of Interest;
▪ The content of the application;
▪ The Individual and Compilation (Pre-Consensus) (if 1st reader) Report Forms;
▪ The possibility to SAVE the submitted evaluation report - the uploaded information will be kept for future revision;
▪ The LOCK button to submit the evaluation report - the reviewer will no longer be able to modify the uploaded information.
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5.5 EVALUATION TIMELINE

The evaluation timeline is established by FCT’s Board of Directors and conveyed to the evaluation panel chair and members. The date of the final videoconference meeting of the evaluation panel is established in advance by FCT.

6 CONFIDENTIALITY AND CONFLICT OF INTEREST

6.1 CONFIDENTIALITY

The confidentiality of written applications must be protected. All reviewers involved in the evaluation are asked not to copy, quote or otherwise use material contained in the applications. All reviewers are requested to sign a statement of confidentiality relative to the contents of the project applications and to the results of the evaluation.

The statement that needs to be accepted, which appears the first time the reviewer uses the individual credentials to access the evaluation area, is the following:

STATEMENT OF CONFIDENTIALITY

Thank you for accepting to participate in the scientific evaluation of Research Projects submitted to the Portuguese Foundation of Science and Technology (Fundação para a Ciência e a Tecnologia, I.P.) – FCT.

The reader of this message pledges, on his/her honour, not to quote or use in any way, the contents of the project applications, nor to make available, other than to FCT or the evaluation panel, the results of the evaluation of project applications.
6.2 CONFLICT OF INTEREST (COI)

Researchers that have submitted any application to the present Call, as PI, co-PI, team member or consultant to the project, have to decline participating in the evaluation process. Those with first-degree relationships, domestic partnership or married to the PI, co-PI or any team member are also hindered from being a panel member or external reviewer.

Disqualifying Conflict of Interest

In case a disqualifying conflict of interest is identified, the panel member cannot evaluate the respective application. Panel members are also not allowed to participate in the panel meeting discussion of these applications. Circumstances that could be interpreted as a disqualifying conflict of interest are the following:

1. Personal or financial interest in the application’s success;
2. Current or planned close scientific cooperation;
3. Research cooperation within the last three years, e.g. joint publications;
4. Dependent employment relationship or supervisory relationship (e.g. teacher-student relationship up to and including the postdoctoral phase) within the last five years before the opening date of the call;
5. Affiliation or pending transfer to any of the departments, research centres or companies involved in the project;
6. Researchers who are active in a council or similar supervisory or advisory board of the applying institutions are excluded from participating in the review and decision-making process for applications originating from these institutions.

Potential Conflict of Interest

In the case of a potential conflict of interest, the panel member should notify FCT and clarify if he/she is able to perform an unbiased evaluation or if the conflict should rather be considered as disqualifying. A potential conflict of interest exists in the following circumstances:

7. Relationships other than first-degree, marriage or domestic partnership; other personal ties or conflicts;
8. Participation in university bodies other than those listed under no. 6, e.g. in scientific advisory committees in the research environment;
9. Preparation of an application or implementation of a project with a closely related research topic (competition);
10. Participating in an on-going scientific or inter-personal conflict with the applicant(s).
Before starting the evaluation of each application, and in order to be able to access the evaluation form, each reviewer needs to complete a CoI Declaration, as follows:

**Conflict of Interest Declaration**

Please state:

- No, I have no conflict
- Yes, I have a strong conflict (see **Disqualifying CoI**)
- It is possible that I have a conflict (see **Potential CoI**)

In case of a disqualifying or potential CoI, the reviewer is asked to justify the situation.

The **individual reviewer** will not be able to proceed in case of a disqualifying conflict of interest. **In this case, the individual reviewer is required to inform the Panel Chair and FCT team of this situation**, so that the application may be reassigned. The panel meeting report must mention all declared CoI.
7. GLOSSARY AND TRANSLATIONS

7.1 PORTUGUESE TO ENGLISH TRANSLATION AND EXPLANATIONS

Agregação = Aggregation. This is an academic title. It attests:
   i.) the quality of the academic, professional, scientific and pedagogical curriculum;
   ii.) the capacity to carry out research supervision;
   iii.) the capability to coordinate and carry out independent research work, and is issued to PhD holders with a research and academic path after a public exam by a jury involving discussion of the CV, of a submitted curricular proposal and the presentation and discussion of a lecture.

Doutoramento = PhD, doctoral degree

Mestrado = Master’s degree

Licenciatura = BA (3, 4 or 5 years graduate course)

Bolsa = Grant, fellowship

Bolseiro = Grant holder, fellow

BII = Bolsas de Iniciação à Investigação = Research Initiation Grants

- Research Initiation Grants are intended for students enrolled in a Higher Professional Education, a 1st cycle of a Higher Education institution, an Integrated Master or Master to initiate their scientific training, within research projects to be developed in national institutions;
- These grants are also aimed at holders of a graduate degree, enrolled in courses that do not award an academic degree, integrated in an educational project of a higher education institution developed individually or jointly in their institutes or R&D units;
- These grants have a minimum duration of three months and may be renewable up to a maximum of one year.

BI = Bolsas de Investigação = Research Grants

- Research grants are intended for students enrolled in an Integrated Master, Master or Doctoral degree, for obtaining the respective scientific academic degree, through the development of scientific training integrated or not in R&D projects;
- These grants are also aimed at holders of a graduate degree or master, enrolled in courses that do not award an academic degree, integrated in an educational project of a higher education institution developed individually or jointly in their institutes or R&D units;
- These grants are, in principle, one year in length, and cannot be awarded for periods of less than three consecutive months;
- The grants may be renewable for additional periods up to:
One year, for grants awarded to graduated degree or master holders enrolled in courses that do not award an academic degree;

Two years, for grants awarded to students enrolled in master’s courses;

Four years, for grants awarded to students enrolled in doctoral degrees;

These grants may be national, mixed or abroad, depending if the work plan occurs completely, partially or not in national institutions;

For mixed research grants, the work plan performed in a foreign institution may not exceed 2 years.

BIPD= Bolsas de Investigação Pós-Doutoral = Postdoctoral Research Grants

- Postdoctoral Research Grants are intended for doctoral degree holders for the development of R&D activities;
- BIPDs are temporally restricted in order to stimulate the scientific employment and the use of researcher contracts as a rule instrument for their hiring, as well as to promote the development, in National Scientific and Technological System entities, of careers aiming at scientific research;
- BIPDs may only be granted provided that the following requirements are cumulatively met:
  - The doctoral degree has been obtained in the last three years before the submission date of the application grant;
  - The postdoctoral research is carried out in a host entity different than the one in which the research work was done to achieve the doctoral degree;
  - The research activities does not require post-doctoral experience;
  - The research activities have a development and execution period equal or less than three years.
- These grants are, in principle, one year in length, renewable for up to a total of three years, and cannot be awarded for periods of less than three consecutive months;
- Once the contract grant is finished, a new contract grant cannot be performed between the same host entity and the same fellow.
7.2 GLOSSARY

CoI = Conflict of Interest
Co-PI = Co-Principal Investigator
MP = Merit of the Project
OECD = Organization for Economic Co-operation and Development
PeX = Exploratory Research Projects
PI = Principal Investigator
R&D = Research and Development
R&I = Research and Innovation
SR&TD = Scientific Research and Technological Development
This section lists the Scientific Domains, Areas and Subareas, according to OECD’s revised Field of Science and Technology – FOS, and the corresponding Evaluation Panels. Each evaluation panel is in charge of the applications from a set of scientific subareas, as indicated below:

### 8.1 FROM SCIENTIFIC SUBAREAS TO EVALUATION PANELS

<table>
<thead>
<tr>
<th>Scientific Domain</th>
<th>Scientific Area</th>
<th>Scientific Subarea</th>
<th>Evaluation Panel</th>
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<tbody>
<tr>
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<td>Physical Sciences</td>
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<td>Natural Sciences</td>
<td>Earth and Related Environmental Sciences</td>
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<td>Environmental Sciences</td>
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<td>Clinical Medicine, Immunology and Infection</td>
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<td>Chemical Engineering</td>
<td>Chemical Engineering, Chemical Process Engineering</td>
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<td>Medical Engineering</td>
<td>Medical Engineering, Medical Laboratory Technology</td>
<td>Bioengineering and Biotechnology</td>
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<tr>
<td>Engineering and Technology</td>
<td>Environmental Engineering</td>
<td>Environmental Engineering&lt;br&gt;Geotechnics&lt;br&gt;Petroleum Engineering, Energy and Fuels&lt;br&gt;Remote Sensing&lt;br&gt;Mining and Mineral Processing&lt;br&gt;Geological Engineering&lt;br&gt;Marine Engineering&lt;br&gt;Sea Vessels&lt;br&gt;Ocean Engineering</td>
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<td>Environmental Biotechnology</td>
<td>Environmental Biotechnology</td>
<td>Bioremediation, Diagnostic Biotechnologies (DNA Chips and Biosensing Devices) in Environmental Management&lt;br&gt;Environmental Biotechnology related Ethics</td>
<td>Environmental Biotechnology and Engineering</td>
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<td>Industrial Biotechnology</td>
<td>Industrial Biotechnology</td>
<td>Bioprocessing Technologies, Biocatalysis and Fermentation&lt;br&gt;Bioproducts, Biomaterials, Bioplastics, Biofuels, Bio-derived Bulk and Fine Chemicals and Bio-derived Novel Materials</td>
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<td>Nanomaterials&lt;br&gt;Nanoprocesses&lt;br&gt;Nano-Optics and Nanophotonics&lt;br&gt;Modelling at Nanoscale</td>
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<td>Respiratory Systems</td>
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<td>Critical Care Medicine and Emergency Medicine</td>
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<td>Radiology, Nuclear Medicine and Medical Imaging</td>
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<td>Transplantation</td>
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<td>Dentistry, Oral Surgery and Medicine</td>
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<td>Dermatology and Venereal Diseases</td>
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<td>Urology and Nephrology</td>
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<td>Medical and Health Sciences</td>
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<td>Health Sciences</td>
<td>Health Care Sciences and Services, Health Policy and Services, Nursing, Nutrition, Dietetics, Public and Environmental Health, Epidemiology, Occupational Health, Sport and Fitness Sciences, Social Biomedical Sciences, Medical Ethics, Substance Abuse, Tropical Medicine, Parasitology, Infectious Diseases</td>
<td>Health and Sport Sciences</td>
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<td>Medical Biotechnology</td>
<td>Health-related Biotechnology, Technologies - Manipulation of Cells, Tissues, Organs or the Whole Organisms, Technologies - Identification of the Functioning of DNA, Proteins and Enzymes and its relation with the Disease, Biomaterials, Medical Biotechnology related Ethics</td>
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<td>Other Medical Sciences</td>
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<td>GM Technology (Crops and Livestock) and Livestock Cloning</td>
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<td>Marker Assisted Selection</td>
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<td>Biomass Feedstock Production</td>
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<td>Agricultural Biotechnology related Ethics</td>
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### 8.2 SCIENTIFIC SUBAREAS ALLOCATED TO EACH EVALUATION PANEL

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Nutrition, Dietetics  
Public and Environmental Health  
Epidemiology  
Occupational Health  
Sport and Fitness Sciences  
Social Biomedical Sciences  
Medical Ethics  
Substance Abuse |
| Psychology | Psychology | Psychology (including Human-Machine relations)  
Psychology, Special (including Therapy for Learning, Speech, Hearing, Visual and other Physical and Mental Disabilities) |
| Economics and Business | Economics and Business | Economics, Econometrics  
Industrial Relations  
Business and Management |
| Educational Sciences | Educational Sciences | Education, General (including Training, Pedagogy, Didactics)  
Education, Special (to Gifted Persons, those with Learning Disabilities) |
| Sociology | Sociology | Sociology  
Demography  
Anthropology  
Ethnology  
Social topics (Women’s and Gender Studies; Social Issues; Family Studies, Social Work) |
| Law and Political Science | Law | Law, Criminology, Penology  
Other Subareas of Law |
|                       | Political Science | Political Science  
Public Administration  
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ANNEX I - BUDGET RATIONALE

Under this call, the following items in R&D projects are eligible for funding:

a) **Direct costs:**
   i. **Human resources rationale:**
      Expenses with Human Resources dedicated or related to the development of R&D activities related to the project execution in all mandatory components by the applicable labour legislation, including charges with grant holders directly supported by the beneficiaries;
      
      • With regard to **employment contracts**, human resources expenses are based on the costs incurred in carrying out the project, based on the monthly base salary declared for the social protection of the worker, which may be increased by the mandatory social food allowance and occupational accident insurance under legally defined terms. The basic salary shall be the set of all remunerations of a permanent nature subject to taxation and declared for the purpose of social protection of the worker;
      
      • The **research fellowships** are tendered and contracted by the beneficiary entities in the context of the supported projects, which must comply with the Research Fellowship Holder Statute (Law n.º 40/2004 of 18 August, in its present version) and FCT Regulation for Research Studentships and Fellowships.

   ii. **Missions:**
      Expenses with travel, accommodation, registration fees, etc. in Portugal and abroad, and directly attributable to the project.

   iii. **Service procurement and acquisitions:**
      Acquisition of other goods and services directly related to the project’s execution, including costs with consultants that do not establish subcontracts.

   iv. **Equipment rationale:**
      Amortization of scientific and technical tools and equipment indispensable to the project and of which the useful lifetime falls within the execution period, but does not end within that period.
      
      Acquisition of scientific and technical tools and equipment, indispensable to the project if used within the project during their useful lifetime.

   v. **Patent registration**
      Expenses related to the national and foreign record of patents, copyrights, usefulness models and drawings, national models or brands when related to other forms of intellectual protection, namely rates, researches to the status of the technique and consulting expenses.

   vi. **Adaptation of buildings and facilities**
      When essential to the development of the project, namely for environmental and security reasons, provided that these costs do not exceed 10% of the total eligible cost of the project.

   vii. **Demonstration, Promotion and Publication**
Expenses with the **demonstration, promotion and disclosure of the project’s outputs**, namely dissemination fees within the fulfilment and pursuant to national policies of open access.

**viii. Subcontracts:**

Directly related to the project scientific task’s execution

b) **Indirect** costs, with a flat rate of 25% of eligible direct costs, excluding subcontracting. The percentage bound in this item is automatically checked by the submission tool. Applications cannot be locked if this condition is not verified.

For the present Call, the **non-eligible costs** are the ones stated in the art. 9º of the [FCT Projects Regulation](https://example.com). **Salaries of public servants** are **not funded under this call**.